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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Tomotaka YOKOYAMA; Hiroshi TOMIYASU; Masatomo SHIBUI; Nobuyuki ETO

Application No./Patent No.: 10/761,771 Filed/Issue Date: January 20, 2004

Titled: Management Technique of Friction Coefficient Based on Surface Roughness, Substrate for Information Recording Medium, Information Recording Medium and Manufacture Method Thereof

Hoya Corporation, a Corporation

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1.  the assignee of the entire right, title, and interest in;

2.  an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %), or

3.  the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above, by virtue of either:

A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011925, Frame 0574, or for which a copy therefore is attached.

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B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Mark J. Murphy/

December 3, 2010

Signature

Date

Mark J. Murphy; Registration No. 34,225

Attorney/Agent of Record

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1460.



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**Total Assignments: 1**

Patent #: 6706427      Issue Dt: 03/16/2004      Application #: 09741978      Filing Dt: 12/20/2000  
Publication #: 20010053444      Pub Dt: 12/20/2001

Inventors: Tomotaka Yokoyama, Hiroshi Tomiyasu, Masatomo Shibui, Nobuyuki Eto

Title: MANAGEMENT TECHNIQUE OF FRICTION COEFFICIENT BASED ON SURFACE ROUGHNESS, SUBSTRATE FOR INFORMATION RECORDING MEDIUM, INFORMATION RECORDING MEDIUM AND MANUFACTURE METHOD THEREOF

**Assignment: 1**

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